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## FAX FILING IN U.S. PATENT & TRADEMARK OFFICE

DATE: August 24, 2004

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TO:	Examiner Jerome Jackson, Jr.	FAX NO.:	1-703-872-9306
FROM:	Kenneth N. Nigon	ADMIN. ASST.:	Tonya M. Berger
APPLN. NO.:	10/776,822	ATTY. DOCKET NO.:	NSG-229US
TITLE OF APPLN.: END SURFACE LIGHT-EMITTING ELEMENT HAVING INCREASED EXTERNAL LIGHT EMISSION EFFICIENCY AND SELF-SCANNING LIGHT-EMITTING ELEMENT ARRAY USING THE SAME			
FILING DATE:	10/776,822	ART UNIT:	2815
FIRST INVENTOR:	Takashi Tagami et al.	CONF. NO.:	3383
TITLE OF DOCUMENT (and List of Attachments): Transmittal and Preliminary Amendment			

Total Number of Pages: 5 (including this form)

### COMMENTS

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PTO/SB/21 (04-04) (AW 06/2004)

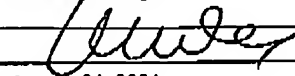
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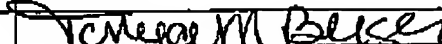
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/776,522
	Filing Date	February 11, 2004
	First Named Inventor	Takashi Tagami et al.
	Art Unit	2816
	Examiner Name	Jerome Jackson, Jr.
Total Number of Pages in This Submission 4		Attorney Docket No. NSG-229US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Preliminary Amendment
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual Name	Kenneth N. Nigon	Registration No. (Attorney/Agent)	31,549
Signature			
Date	August 24, 2004		

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Tonya M. Berger	Date	August 24, 2004
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/776,822  
Applicant: Takashi Tagami et al.  
Filed: February 11, 2004  
Title: END SURFACE LIGHT-EMITTING ELEMENT HAVING INCREASED EXTERNAL  
LIGHT EMISSION EFFICIENCY AND SELF-SCANNING LIGHT-EMITTING  
ELEMENT ARRAY USING THE SAME  
TC/A.U.: 2815  
Examiner: Jerome Jackson, Jr.  
Confirmation No.: 3383  
Docket No.: NSG-229US

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified application as follows:

- ☒ **Amendments to the Specification** begin on page 2 of this paper.
- ☐ **Amendments to the Claims** are reflected in the listing of claims which begins on page of this paper.
- ☐ **Amendments to the Drawings** begin on page of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page of this paper. A clean version of the Abstract is on page of this paper.
- ☒ **Remarks/Arguments** begin on page 3 of this paper.